

# Duties and Operation Status of Risk Management and Information Security Committee

## 1. Duties of the Risk Management and Information Security Committee

Effective August 16, 2025, the scope of the Risk Management Committee was expanded to include information security, and it was renamed the “Risk Management and Information Security Committee”(hereinafter referred to as the “Committee”). The Committee comprises at least three directors appointed by the Board of Directors (hereinafter referred to as the “BOD”), and more than half of the Committee members are independent directors. The Committee is established to perfect the risk management and information security functions of the Company, strengthen corporate governance, and enhance the performance of the BOD. The Committee’s powers are as below:

- (1)Review the Company’s risk management and information security policies and guidelines;
- (2)Review the Company’s annual risk appetite or the limits that require the BOD’s approval;
- (3)Review the Company’s risk management implementation status, which shall be consolidated by the Risk Management Division and report to the BOD on a quarterly basis;
- (4)Review the Company’s information security implementation status, which shall be consolidated by the Information Security Division and report to the BOD on an annually basis;
- (5)Review other risk management and information security related agendas to be reported to the BOD; and
- (6)Implement other matters instructed by the BOD to the Committee for processing.

The Committee shall be convened at least once every quarter and may call a meeting at its discretion whenever necessary. It may resolve to appoint attorneys, certified public accountants, or other professionals to offer consulting assistance.

## 2. Composition of the Risk Management and Information Security Committee

Members of the Second-term Risk Management and Information Security Committee (June 13, 2025 to June 12, 2028) <sup>[1]</sup>

Title	Name	Main Education	Main Experience	Professional Experience	Remark
Independent Director (Convener)	Li-Ling, Wang	Ph.D. of Temple University (Dept. of Risk Management, Insurance, and Actuarial Science), USA  Master of Hartford University(Dept. of Accounting), USA	<ul style="list-style-type: none"> <li>◆ Chairman, Taiwan Sustainable Finance and Enterprise Impact Association</li> <li>◆ Professor, National Chengchi University Department of Risk Management and Insurance</li> </ul> <b>Former Experience :</b> <ul style="list-style-type: none"> <li>◆ Chairman, Pension Funds Association in Taiwan</li> <li>◆ Vice President, National Chengchi University</li> <li>◆ Chairman, Financial Supervisory Commission Republic of China (Taiwan)</li> <li>◆ Director, First Financial Holding</li> <li>◆ Independent Director, China Life Insurance Co., Ltd.</li> </ul>	Ph.D. and Professor, Department of Risk Management and Insurance; former Chairperson, Financial Supervisory Commission; former Independent Director, China Life Insurance Co., Ltd.; extensive experience in risk management and corporate governance	
Independent Director (Member)	Tang-Chieh, Wu	Master of National Chengchi University (Dept. of Public Finance)	<ul style="list-style-type: none"> <li>◆ Secretary-General, Taiwan Financial Services Roundtable</li> </ul> <b>Former Experience :</b> <ul style="list-style-type: none"> <li>◆ Chairman, Hua Nan Financial</li> </ul>	Former Chairman, Hua Nan Financial Holdings Co., Ltd.; former Chairman, Hua Nan Bank; former Chairman,	

			Holdings Co., Ltd. ♦ Chairman, Hua Nan Bank ♦ Chairman, Land Bank of Taiwan ♦ Political Deputy Minister, Ministry of Finance ♦ Vice Chairman, Financial Supervisory Commission Republic of China (Taiwan) ♦ Director-General, Securities and Futures Bureau, Financial Supervisory Commission Republic of China (Taiwan)	Land Bank of Taiwan; former Vice Chairman, Financial Supervisory Commission; former Director-General, Securities and Futures Bureau of Financial Supervisory Commission; extensive experience in risk management and corporate governance.	
Independent Director (Member)	Denny Cheng-Hung, Kuo	M.B.A., National Taiwan University	♦ Chairman, TN Soong Foundation ♦ Independent Director, TCM Biotech International Corp. <b>Former Experience :</b> ♦ CEO and Chairman, Deloitte Taiwan, etc.	Certified Public Accountant (Republic of China); former CEO and Chairman, Deloitte Taiwan; extensive experience in management and in accounting and auditing practice, with substantial corporate governance experience	Elected on August 16, 2025
Director (Member)	Chang-Ken, Lee	M.B.A., University of Pennsylvania, USA	♦ President, Cathay Financial Holdings ♦ Vice Chairman, Cathay Life Insurance <b>Former Experience :</b> ♦ President, Cathay United Bank	Former General Manager and Chief Risk Officer, Cathay United Bank; extensive experience in risk management and corporate governance	Resigned on August 16, 2025

Note1: Effective August 16, 2025, Risk Management Committee was renamed the “Risk Management and Information Security Committee”.

Note2: Regarding the convening of meetings of the Committee and the attendance rate of each member, please refer to the Company’s annual reports for each year or visit the “Market Observation Post System” ([http://mops.twse.com.tw/mops/web/t100sb03\\_1](http://mops.twse.com.tw/mops/web/t100sb03_1), TWSE listed company code: 2882) for inquiries.

### 3. Operation Status of the Risk Management and Information Security Committee in 2025

The 2nd Risk Management and Information Security Committee (Statistical period: June 13, 2025 - August 15, 2025)

One [A] meeting of the Committee was held in the most recent year, and the records of attendance by directors are shown as follows:

Title	Name	Attendance in Person [B]	Proxy Attendance	Attendance Rate (%) [B/A] (Note)	Remark
Convener (Independent Director)	Li-Ling, Wang	1	0	100%	-
Member (Independent Director)	Tang-Chieh, Wu	1	0	100%	

Title	Name	Attendance in Person [B]	Proxy Attendance	Attendance Rate (%) [B/A] (Note)	Remark
Member (Independent Director)	Denny Cheng-Hung, Kuo	--	--	--	Elected on August 16, 2025
Member (Director)	Chang-Ken, Lee	1	0	100%	Resigned on August 16, 2025

Other matters required to be recorded:

1. For the proposals of the Committee with the Board's objections or modifications, the date, term, and agenda of the Board meeting, the resolutions of the Board, and actions taken by the Company on the Committee's opinions shall be specified: No objections or modifications.
2. For the resolutions of the Committee with objections or qualified opinions expressed by the members on record or in writing, the date, term, and agenda of the Committee meeting, the opinions of all the members, and actions taken on the members' opinions shall be specified: No objections or qualified opinions.

Note: (1) If a director resigns before the end of the year, the date of resignation of the director shall be specified in the Remark column. A director's attendance rate (%) is calculated based on the number of Committee meetings attended by the director during the term of office and the number of attendance in person.

(2) Where any re-election of directors is held before the end of the year, both new and former directors shall be specified. Each director shall be indicated as former, newly elected, or re-elected in the Remark column, with the date of election thereof specified. A director's attendance rate (%) is calculated based on the number of Committee meetings attended by the director during the term of office and the number of attendance in person.

(3) Effective August 16, 2025, Risk Management Committee was renamed the "Risk Management and Information Security Committee".

#### 4. Details of Risk Management and Information Security Committee Meetings Held in 2025

Risk Management and Information Security Committee	Agenda Content and Follow-up Actions	Resolution	Actions Taken by the Company on the Committee's Opinions
1st meeting, 2nd Risk Management Committee on Aug 11, 2025	Report on the risk management and implementation for the second quarter of 2025	Acknowledged.	Acknowledged.

Note: Effective August 16, 2025, Risk Management Committee was renamed the "Risk Management and Information Security Committee".