

Cases Reporting of Cathay FHC

Cathay FHC disclosed our recent two-year major reporting cases by category, the number of cases, case status, and improvement measures.

Category	2020	2021	Under investigation	Total Case closed	Total Confirmed Cases	Improvement measures for confirmed cases in 2021
Fair trade	0	0	0	0	0	<ol style="list-style-type: none"> 1. We implemented training on sexual harassment prevention and requested supervisors of units where cases occurred to strengthen employees' awareness and manage employees' conduct. 2. For personal data privacy leakage, we stepped up training for service personnel, and will strengthen and monitor the use of customers' personal information, and improve related protection measures to reduce personal information breach incidents.
Sex harassment	4	3	0	7	7	
Personal data privacy leakage(Note 2)	0	11	0	11	11	
Information security violations	0	0	0	0	0	

Note1: Case closed means we finished the case investigation; confirmed cases means that we confirmed there were violations against the regulations or rules after investigation, and we took improvement measures.

Note 2: The calculation basis was adjusted in response to the reporting requirements of the authority, which was verified by the third party. It will be calculated and disclosed on this basis from 2021.